



Date of Meeting- 28th January 2020	Lead Member- Councillor Rowbotham
Portfolio- Integrated Commissioning	Lead Officer- Sam Evans
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Public Document	

## **Health and Social Care Better Care Fund Budget Monitoring Report – Quarter 3 2019/20**

### **1.Executive Summary**

- 1.1 At its March 2019 meeting the Integrated Commissioning Board (ICB) agreed the revised 2019/20 budgets for the Better Care Fund (BCF), this report updates the ICB and the Strategic Place Board (SPB) with the Quarter 3 (October to December 2019) budget monitoring for the BCF for the financial year 2019/20.

### **2.Recommendation**

- 2.1 ICB notes the Quarter 3 monitoring information as at December 2019 period end.
- 2.2 ICB notes that there are contingencies being held for budgets not yet allocated in 2019/20. The revenue position is currently showing a forecast underspend of £75k - the requirement to allocate these funds will be monitored as the year progresses. Should the pooled fund gap remain (currently £823k) and there be an underspend in the BCF at year end, this will be transferred to reduce the gap on the pooled fund.
- 2.3 ICB are asked to approve the carry forward of any unspent capital from 2019/20 to 2020/21. The latest forecast is that £477k will be unspent in 2019/20 as detailed in 5.3-5.5.
- 2.4 ICB note the use of £200k of Adult Social Care Capital Reserves to fund the demand for Disabled Facility Grant adaptations above the level of grant received for 2019/20.

### **3.Reason for Recommendation**

- 3.1 The Strategic Place Board (SPB) have ultimate sign off of the BCF budget as mandated in the BCF Policy Framework and Planning Guidance. The SPB have delegated responsibility for the BCF to the ICB. The revised 2019/20 budget for the BCF was agreed by the ICB in March 2019, this report provides monitoring against those budgets as at September period end.

### **4.Key Points for Consideration**

- 4.1 The BCF revised budget was set at the ICB meeting in March 2019 in line with the BCF Policy Framework and Planning Guidance for 2017-19.
- 4.2 The partners must have a Section 75 agreement to support the BCF budget and this was approved by the ICB at its September 2017 meeting and has now been updated and forms part of the overall pooled Health and Social Care Section 75 agreement for 2019/20.

#### **Alternatives Considered**

- 4.3 It is a requirement of the NHSE guidance to produce a budget for 2019/20 which the ICB approved at its March 2019 meeting. This report updates the monitoring against the 2019/20 budget which is a requirement of the Section 75 agreement. Therefore there are no alternatives to consider.

### **5.Costs and Budget Summary**

- 5.1 Table 1 shows the updated 2019/20 revenue budget and the forecast financial position.
- 5.2 Since the last financial update, the forecast revenue underspend has increased by £30k to £75,579, as an expected charge against contingency budgets for GP related IT licences will no longer be charged to the BCF. Should the pooled fund gap remain (currently £823k) and there be an underspend in the BCF at year end, this will be transferred to reduce the gap on the pooled fund.

**Table 1 Revenue Budget and Forecast at December period end**

Line No	Scheme	2019/20 Budget	2019/20 Spend/ Committed Quarter 3	2019/20 Forecast	Variance
		£'s	£'s	£'s	£'s
	<b>Revenue Expenditure</b>				
1	Funding of Social Care Services 2019/20	18,467,255	13,850,441	18,467,255	-
2	Additional Funding in Adult Social Care notified in Spring Budget 2017	1,568,389	1,176,292	1,568,389	-
3	Care Act Implementation	201,469	151,102	201,469	-
	<b>Carers Services</b>				
4	Carers -universal services	400,668	300,501	400,668	-
5	Carers night sitting service - dementia	80,000	60,000	80,000	-
	<b>Carers sub total</b>	<b>480,668</b>	<b>360,501</b>	<b>480,668</b>	<b>-</b>
	<b>Reablement Services</b>				
6	Reablement - dementia support workers	85,115	63,836	85,115	-
7	Reablement - Intermediate Care dementia flexible workers	63,048	47,286	63,048	-
8	Reablement - mental health outreach workers	104,712	78,534	104,712	-
9	Reablement - memory clinic dementia workers	49,866	37,400	49,866	-
10	Reablement - carers life after stroke	135,306	101,480	135,306	-
11	Reablement - equipment loan store	953,491	682,958	953,491	-
	<b>Reablement sub total</b>	<b>1,391,538</b>	<b>1,011,494</b>	<b>1,391,538</b>	<b>-</b>
	<b>Intermediate tier service</b>				
12	Reablement (STAR's) plus to support the new service	183,448	137,586	183,448	-
13	Pennine Acute ITS contract	5,788,919	4,341,689	5,788,919	-
14	Pennine Acute CQUIN - new funding from CCG	72,361	54,271	72,361	-
15	Winter Pressure Funding Expenditure	1,108,358	831,269	1,108,358	-
16	Contingency for revenue schemes	75,579	-	-	75,579
	<b>Intermediate Care sub total</b>	<b>7,228,665</b>	<b>5,364,815</b>	<b>7,153,086</b>	<b>- 75,579</b>
	<b>Total Revenue Expenditure</b>	<b>29,337,984</b>	<b>21,914,645</b>	<b>29,262,405</b>	<b>- 75,579</b>
	<b>Income</b>				
17	Contribution from CCG	- 17,044,502	- 12,783,377	- 17,044,502	-
18	Contribution from LA	- 9,616,735	- 7,212,551	- 9,616,735	-
19	Contribution from LA additional Grant notified in 2017 Spring Budget	- 1,568,389	- 1,176,292	- 1,568,389	-
20	Contribution from LA - Winter Pressure Funding 2019/20	- 1,108,358	- 831,269	- 1,108,358	-
	<b>Total Income</b>	<b>- 29,337,984</b>	<b>- 22,003,489</b>	<b>- 29,337,984</b>	<b>-</b>
	<b>(Surplus) income over expenditure</b>	<b>-</b>	<b>- 88,844</b>	<b>- 75,579</b>	<b>- 75,579</b>

- 5.3 The capital DFG budget is included in table's 2a/2b below. The figures in table 2a include the revised 2019/20 capital allocation and the capital grant carried over from 2019/20.

**Table 2a 2019/20 Capital Budgets and Forecasts at December period end**

Line No	Capital Schemes	2019/20 Budget	2019/20 Spend/ Committed Quarter 3	2019/20 Forecast	Variance
		£'s	£'s	£'s	£'s
1a	Disabled Facilities Grant (DFG)- 2019/20 (see allocation below)	2,632,865	2,264,349	2,832,865	200,000
	<b>Total DFG in allocation below</b>	<b>2,632,865</b>	<b>2,264,349</b>	<b>2,832,865</b>	<b>200,000</b>
2	Capital Grant carried over from 2018/19				
2a	DFG- Adaptations to Autism Project	150,000	0	0	-150,000
2b	Adult Social Care and Health Integration Hubs	422,253	0	100,000	-322,253
	<b>Total Capital Schemes</b>	<b>3,205,118</b>	<b>2,264,349</b>	<b>2,932,865</b>	<b>-272,253</b>
3	Contribution from LA	-3,205,118	-2,264,349	-2,932,865	272,253
	<b>Total Capital Contributions</b>	<b>-3,205,118</b>	<b>-2,264,349</b>	<b>-2,932,865</b>	<b>272,253</b>
	<b>(Surplus) Contribution over expenditure</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

- 5.4 The Autism project will not be completed this year, and therefore the capital budget of £150k for adaptations will be carried forward to 2020/21
- 5.5 Work is underway to progress the Adult Social Care and Health Integration Hubs, with a number of sites identified across various localities. It is anticipated that around £100k of the capital allocation will be required in 2019/20, and that the remaining £322k will be carried forward to 2020/21.
- 5.6 Demand for DFG adaptations has continued to be high in 2019/20, and the overall grant allocation has been lower than in previous years. To manage this demand, the Adult Social Care Service has agreed to release £200k of Capital Grant Reserves to top up the DFG budget available in 2019/20 and help meet demand. Details of the DFG spend by scheme type are shown in table 2b. Progress on the DFG budgets will be updated in future monitoring report to the ICB.

**Table 2b Allocation of DFG Budget and Forecast included in table 2a above**

<b>Line No</b>	<b>Allocation of DFG</b>	<b>2019/20 Budget</b>	<b>2019/20 Spend/ Committed Quarter 3</b>	<b>2019/20 Forecast</b>	<b>Variance</b>
		<b>£'s</b>	<b>£'s</b>	<b>£'s</b>	<b>£'s</b>
4	Main DFG Budget(including additional amount)	590,000	977,000	1,200,865	610,865
5	Fast Track DFG	1,100,000	1,093,759	1,300,000	200,000
6	DFG Top Up Grants	50,000	8,287	11,000	-39,000
7	Minor Adaptations	100,000	40,000	55,000	-45,000
8	Assistive Technology	180,000	26,000	35,000	-145,000
9	Dementia / Falls / Excess Cold grants	50,000	10,765	16,000	-34,000
10	Stair Lift replacement programme	100,000	50,000	70,000	-30,000
11	Adaptations repairs	35,000	16,038	20,000	-15,000
12	Housing Options for Older People (HOOP) service	40,000	40,000	40,000	0
13	Care Home grants	200,000	2,500	40,000	-160,000
14	Contribution to RBH new build properties for service users who we are unable to adapt for	50,000	0	45,000	-5,000
15	Walking aids	15,000	0	0	-15,000
16	Contingency	122,865	0	0	-122,865
17	Allocation of Adult Social Care Capital Grants Unapplied	200,000	0	0	-200,000
	<b>Total</b>	<b>2,832,865</b>	<b>2,264,349</b>	<b>2,832,865</b>	<b>0</b>

5.7 Table 3 shows a progress report on each of the schemes in the DFG programme.

**Table 3 Details of Proposed DFG Schemes 2019/20**

Scheme	Update December 2019
Main DFG Budget (including additional amount)	There has been an increase in referrals for more complex and multiple adaptations costing in excess of £8,000.
Fast Track DFG	As above. The non means tested grant is benefitting many people who would otherwise have a contribution and potentially not proceed. Administratively more efficient too.
DFG Top Up Grants	1 case fully paid. A number of cases expected to be approved have withdrawn, which has led to a reduced forecast.
Minor Adaptations	Demand for the larger minor adapts still high, although not as high as in Q1. Many undertaken by property services and costs don't always come through on a monthly basis, so spend often takes a few months to catch up. Revised forecast on the basis that there has been a slight reduction and there is an increasing trend for some of the major minors to be linked to a DFG, which is reflected in the increased spend and forecast for DFG and Disabled Home Repairs above.
Assistive Technology	AT purchases as and when required. Fewer purchases in Q3, as no investment has been made in new Careline units, as there is speculation about whether a new unit will be launched soon in preparation for the digital switchover in 2025.
Dementia / Falls / Excess Cold grants	Referrals still coming through but quite a number have been linked to a full DFG, as when assessed further, the service user have needed larger adaptations, so all the works have been linked together as a DFG.
Stair Lift replacement programme	Continue to replace stair lifts over 5 years old. Not a significant number left and these will be slower to replace as some service users are refusing to have the old lift removed. Still anticipating to have most replaced by the end of Q4.
Adaptations repairs	Numerous cases being worked on. Forecast reduced, as some of the disrepair cases have turned into full DFG, as the works are more extensive and the needs of the service user have changed.
Housing Options for Older People (HOOP) service	This funding has now been paid to RBH.
Care Home grants	Made offer to care homes of part funding for electronic records systems. Awaiting expressions of interest and expect to run the programme over current and next financial year, with £100,000 in current year and £100,000 in 20/21
Contribution to RBH new build properties (for service users who the LA are unable to make adaptations)	1 build ongoing for 2 disabled children, we are expecting the build to be completed before year end so costs likely to be invoiced before end of Q4.
Walking aids	No requests have been made for this additional funding so far, the expectation is that it will not be required.
Contingency	No contingency as utilised on DFG and Disabled Home Repairs expected expenditure.

## 6.Risk and Policy Implications

- 6.1 Any risk of operating the BCF in 2019/20 is covered in the Section 75 agreement which was agreed by the ICB in September 2017 and has now been updated and included in the revised Section 75 report for the whole of the pooled Health and Social Care budgets.
- 6.2 Most of the budgets in the BCF are fixed price contracts but there are demand led budgets which could pose a financial risk mainly around the provision of equipment. Regular monitoring will allow commissioners to mitigate any risks and contingencies are included in both the capital and revenue budgets which could be used to mitigate risks.

## 7.Consultation

- 7.1 There is no requirement for consultation on the contents of this report other than with the partners i.e. the CCG and the LA. Relevant officers from both organisations have been consulted on the content of this report.

8.Background Papers	Place of Inspection
8.1 LA Monitoring working papers CCG Monitoring working papers	Number 1 Riverside Number 1 Riverside

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