



Date of Meeting	26 January 2021
Portfolio	Cabinet Member for Health & Wellbeing
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Public/Private Document	Public

## Health & Social Care Better Care Fund Budget Monitoring Report – Quarter 3 2020/21

### Executive Summary

1. At its May 2020 meeting the Integrated Commissioning Board (ICB) agreed the revised 2020/21 budgets for the Better Care Fund (BCF), this report updates the ICB and the Strategic Place Board (SPB) with the Quarter 3 (April to December 2020) budget monitoring for the BCF for the financial year 2020/21.

### Recommendation

- 2.1 ICB notes the Quarter 3 monitoring information as at December 2020 period end.
- 2.2 ICB notes that there are contingencies being held for budgets not yet allocated in 2020/21 of £37k and a forecast underspend against the equipment store budget of £175k giving a total forecast revenue underspend of £212k.
- 2.3 ICB notes the forecast underspend against capital budgets of £363k in relation to the Autism Project adaptations (£150k) and the INT hubs (£213k), both of which are now expected to be spent in 2021/22.
- 2.4 ICB notes that an additional £355k DFG capital grant was allocated by the Government in December 2020, and that this increases the forecast in year underspend on DFG to £976k, which is expected to be spent in 2021/22. The forecast in year underspend relates primarily to delays in carrying out DFG adaptations as a result of Covid restrictions.

### **Reason for Recommendation**

3. The Strategic Place Board (SPB) have ultimate sign off of the BCF budget as mandated in the BCF Policy Framework and Planning Guidance. The SPB have delegated responsibility for the BCF to the ICB. The revised 2020/21 budget for the BCF was agreed by the ICB in May 2020, this report provides monitoring against those budgets as at December period end.

### **Key Points for Consideration**

- 4.1 The BCF revised budget was set at the ICB meeting in May 2020 in line with the BCF Policy Framework and Planning Guidance for 2017-19.
- 4.2 The partners must have a Section 75 agreement to support the BCF budget and this was approved by the ICB at its September 2017 meeting. This has been updated and forms part of the overall pooled Health and Social Care Section 75 agreement for 2020/21 being reported to July ICB.

#### **Alternatives Considered**

- 4.3 It is a requirement of the NHSE guidance to produce a budget for 2020/21 which the ICB approved at its May 2020 meeting. This report updates the monitoring against the 2020/21 budget which is a requirement of the Section 75 agreement. Therefore there are no alternatives to consider.

## Costs and Budget Summary

5.1 Table 1 shows the updated 2020/21 revenue budget and the forecast financial position.

**Table 1 Revenue Budget and Forecast at December period end**

Line No	Scheme	2020/21 Budget	2020/21 Spend/ Committed Quarter 3	2020/21 Forecast	Forecast Variance
	<b><u>Revenue Expenditure</u></b>	<b>£'s</b>	<b>£'s</b>	<b>£'s</b>	<b>£'s</b>
1	<b>Funding of Social Care Services</b>	19,163,202	14,372,397	19,163,202	-
2	<b>Additional Funding in Adult Social Care notified in Spring Budget 2017</b>	1,568,389	1,176,292	1,568,389	-
3	<b>Care Act Implementation</b>	205,498	154,124	205,498	-
	<b><u>Carers Services</u></b>				
4	Carers -universal services	408,681	306,511	408,681	-
5	Carers night sitting service - dementia	81,600	61,200	81,600	-
	<b>Carers sub total</b>	<b>490,281</b>	<b>367,711</b>	<b>490,281</b>	<b>-</b>
	<b><u>Reablement Services</u></b>				
6	Reablement - dementia support workers	86,817	65,113	86,817	-
7	Reablement - Intermediate Care dementia flexible workers	64,309	48,232	64,309	-
8	Reablement - mental health outreach workers	110,681	83,011	110,681	-
9	Reablement - memory clinic dementia workers	52,708	39,531	52,708	-
10	Reablement - carers life after stroke	138,689	104,017	138,689	-
11	Reablement - equipment loan store	974,945	534,870	799,677	(175,268)
	<b>Reablement sub total</b>	<b>1,428,149</b>	<b>874,774</b>	<b>1,252,881</b>	<b>(175,268)</b>
	<b><u>Intermediate tier service</u></b>				

12	Reablement (STAR's) plus to support the new service	187,117	140,338	187,117	-
13	Pennine Acute ITS contract	5,933,642	4,450,232	5,933,642	-
14	Pennine Acute CQUIN - new funding from CCG	74,170	55,628	74,170	-
15	Winter Pressure Funding Expenditure	1,108,358	831,269	1,108,358	-
16	Contingency for revenue schemes	68,242	31,000	31,000	(37,242)
	<b>Intermediate Care sub total</b>	<b>7,371,529</b>	<b>5,508,467</b>	<b>7,334,287</b>	<b>(37,242)</b>
	<b>Total Revenue Expenditure</b>	<b>30,227,048</b>	<b>22,453,765</b>	<b>30,014,538</b>	<b>(212,510)</b>
	<b>Income</b>				
17	Contribution from CCG	(17,933,566)	(13,450,175)	(17,933,566)	-
18	Contribution from LA	(9,616,735)	(7,212,551)	(9,616,735)	-
19	Contribution from LA additional Grant notified in 2017 Spring Budget	(1,568,389)	(1,176,292)	(1,568,389)	-
20	Contribution from LA - Winter Pressure Funding	(1,108,358)	(831,269)	(1,108,358)	-
	<b>Total Income</b>	<b>(30,227,048)</b>	<b>(15,113,525)</b>	<b>(30,227,048)</b>	<b>-</b>
	<b>(Surplus) income over expenditure</b>	<b>0</b>	<b>(216,522)</b>	<b>(212,510)</b>	<b>(212,510)</b>

- 5.2 There is a forecast underspend of £175k against the reablement equipment budget This is due to £85k of equipment purchased being rechargeable against the Covid Hospital Discharge programme and a general reduction in spend due to Covid restrictions reducing the overall number of social worker visits and servicing of existing equipment.
- 5.3 BCF Contingency of £31k has been used to fund the Urgent Treatment Centre highways signage leaving an unallocated contingency balance of £37k.
- 5.4 Overall this provides a forecast in year saving of £213k. This position will continue to be monitored and a decision made on how this funding will be used at a future ICB.

- 5.5 A BCF policy statement was issued in December confirming that the minimum allocation towards the protection of Adult Social Care for 2020/21 should be in line with the increase in the CCG contribution to the BCF of 5.3%. This is in line with how the Rochdale BCF budget for 2020/21 has been set and therefore no adjustments are required to the reported budget breakdown. It was also confirmed that the CCG contribution to the BCF for 2021/22 will increase by 5.3%.
- 5.6 The capital DFG budget is included in tables' 2a/2b below. The figures include the 2020/21 capital allocation and the balance carried over from 2019/20, together with an additional allocation of £354k from the Government received in December 2020.
- 5.7 Capital Budget Carry Forwards of £635k were agreed to be brought forward to 2020/21. £72k of this carry forward relates to DFG budgets, £150k is set aside for Adaptations to the planned Autism Project, and the remaining £413k has been earmarked to fund the capital costs in relation to Adult Social Care and Health integration hubs across the 6 localities.

**Table 2a 2020/21 Capital Budgets and Forecasts at December period end**

Line No	Capital Schemes	2020/21 Budget	2020/21 Spend/ Committed Quarter 3	2020/21 Forecast	Variance
		£'s	£'s	£'s	£'s
1a	Disabled Facilities Grant (DFG)- 2020/21 (see allocation below)	3,060,036	1,212,200	2,084,000	(976,036)
	<b>Total DFG in allocation below</b>	<b>3,060,036</b>	<b>1,212,200</b>	<b>2,084,000</b>	<b>(976,036)</b>
2	Capital Grant carried over from 2019/20				
2a	DFG- Adaptations to Autism Project	150,000	0	0	(150,000)
2b	Adult Social Care and Health Integration Hubs	412,965	137,443	200,000	(212,965)
	<b>Total Capital Schemes</b>	<b>3,623,001</b>	<b>1,349,643</b>	<b>2,284,000</b>	<b>(1,339,001)</b>
3	Contribution from LA	(3,623,001)	(1,349,643)	(2,284,000)	1,339,001
	<b>Total Capital Contributions</b>	<b>(3,623,001)</b>	<b>(1,349,643)</b>	<b>(2,284,000)</b>	<b>1,339,001</b>
	<b>(Surplus) Contribution over expenditure</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

- 5.8 As at the end of December there is a forecast underspend of £1,339k against the capital budget. The £150k budget identified for adaptations to the Autism Project is not expected to be required until 2021/22, and £213k of spend on INT hubs is also forecast to be delayed until 2021/22. There is now an expected underspend of £976k against the DFG, but demand for the service means that this will be required in 2021/22. Any unspent budget as at the 31<sup>st</sup> of March will be carried forward to 2021/22.

- 5.9 The DFG programme was put on hold due to Covid and social distancing requirements, however spend was incurred for projects already underway that were completed in early 20/21. The DFG programme resumed in July, however due to the slow start, additional funding received and continuing restrictions as a result of Covid, it is currently forecast that there will be an underspend in year of £976k. This funding will be carried forward to 2021/22 to help address the backlog that has built up once Covid restrictions have been eased. See table 2b below for details on spend against each scheme.

**Table 2b Allocation of DFG Budget and Forecast included in table 2a**

Line No	Allocation of DFG	2020/21 Budget	2020/21 Spend/ Committed Quarter 3	2020/21 Forecast	Variance
		£'s	£'s	£'s	£'s
4	Main DFG Budget	2,176,865	951,751	1,700,000	(476,685)
5	DFG Top Up Grants	10,000	11,559	13,000	3,000
6	Minor Adaptations	160,000	97,119	160,000	0
7	Assistive Technology	75,000	43,120	60,000	(15,000)
8	Dementia, Falls and Excess Cold Payments	10,000	1,211	5,000	(5,000)
9	Repairs to Adaptations	20,000	1,501	6,000	(14,000)
10	Housing Options for Older People (HOOP) service	40,000	24,000	40,000	0
11	Care Homes Offer	96,000	60,675	75,000	(21,000)
12	Contribution to RBH new build properties for service users who we are unable to adapt for	45,000	21,264	25,000	(20,000)
13	Contingency	427,171	0	0	(427,171)
	<b>Total</b>	<b>3,060,036</b>	<b>1,212,200</b>	<b>2,084,000</b>	<b>(976,036)</b>

5.10 Table 3 below shows a progress report on each of the schemes in the DFG programme.

**Table 3 Details of DFG Schemes 2020/21**

<b>Scheme</b>	<b>Update December 2020</b>
Main DFG Budget	Activity has increased following an initial suspension between April and August, however Covid regulations and social distancing requirements has meant that work has taken longer to complete than previously. There remains significant demand in the system, which can be met in 2021/22 by rolling forward the unspent balance at year end.
DFG Top Up Grants	
Minor Adaptations	The number of minor adaptations has been lower than usual due to Covid. If minor adaptations remain at pre-Covid levels from now until 31/3/2021, we will achieve spend of £160,000.
Assistive Technology	Forecast spend is £15,000 lower than the budget allocation as demand has reduced.
Dementia, Falls and Excess Cold Payments	Activity levels have been reduced due to Covid, resulting in forecast underspend of £5,000.
Repairs to Adaptations	Due to Covid, no repair works were carried out between April and September. The backlog is now being addressed, but an estimated £14,000 will be left to carry forward at year end.
Housing Options for Older People (HOOP) service	This spend will be incurred later in the year but we are committed to paying £40,000 to fund the post at RBH.
Care Homes Offer	A number of care homes haven't been able to proceed with their installations due to Covid but the commitment has already been made with the provider for systems to be installed post lockdown. Some homes may not be able to complete their installations before 31/3/21, leaving an estimated £21,000 to carry forward at year end.
Contribution to RBH new build properties for service users who we are unable to adapt for	Costs have been lower than originally anticipated.

Contingency	The original contingency of £72,647 has not been required, due to delays resulting from the Covid situation. In addition an unexpected further £354,524 was allocated by the Government in December.
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<b>Risk and Policy Implications</b>
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- 6.1 Any risk of operating the BCF in 2020/21 is covered in the Section 75 agreement which was agreed by the ICB in September 2017. This has been updated and forms part of the overall pooled Health and Social Care Section 75 agreement for 2020/21 reported to July ICB.
- 6.2 Most of the budgets in the BCF are fixed price contracts but there are demand led budgets which could pose a financial risk mainly around the provision of equipment. Regular monitoring will allow commissioners to mitigate any risks and contingencies are included in both the capital and revenue budgets which could be used to mitigate risks.

<b>Consultation</b>
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- 7.1 There is no requirement for consultation on the contents of this report other than with the partners i.e. the CCG and the LA. Relevant officers from both organisations have been consulted on the content of this report.

<b>Background Papers</b>	<b>Place of Inspection</b>
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8.	LA Monitoring working papers CCG Monitoring working papers	Number 1 Riverside Number 1 Riverside
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